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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/437,812

Filing Date November 10, 1999

First Named Inventor Mark E. Pennell

Group Art Unit 2152

Examiner Name Quang N. Nguyen

Total Number of Pages in This Submission

33

Attorney Docket Number

50642.00002

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### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form (in duplicate)

☒ Amendment / Response

☐ With RCE

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request  
(in duplicate)

☐ \_\_\_\_\_ Reference(s)

☐ IDS and Form 1449

☐ Certified Copy of Priority  
Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Declaration/Oath

☐ Assignment and Recordation Cover  
Sheet (for an Application)

☒ Drawing(s) 6 Sheets

☐ Licensing-related Papers

☐ Petition

☐ Request for Continued Examination

☐ Power of Attorney

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ Request to Correct Filing Receipt

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☒ Return Postcard

☐ Status Letter

☐ Other Enclosure(s)  
(please identify below):

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Daryl C. Josephson, Reg. No. 37,365  
Squire, Sanders & Dempsey, L.L.P.  
600 Hansen Way  
Palo Alto, CA 94304-1043

Signature

Date

December 16, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: December 16, 2002

Typed or printed name

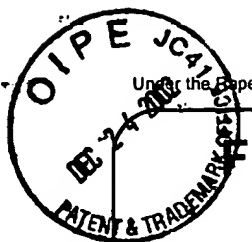
Daryl C. Josephson

Signature

Date

December 16, 2002

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Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**FREE TRANSMITTAL**  
**for FY 2003**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 200**Complete if Known**

Application Number	09/437,812
Filing Date	November 10, 1999
First Named Inventor	Mark E. Pennell
Examiner Name	Quang N. Nguyen
Group / Art Unit	2152
Attorney Docket No.	50642.00002

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**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
05-0150Deposit Account Name  
Squire, Sanders & Dempsey, L.L.P.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	740	2001	370	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	510	2003	255	Plant filing fee	
1004	740	2004	370	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1)** (\$) 0**2. EXTRA CLAIM FEES**

	Total Claims	Extra Claims	Fee from below	Fee Paid
Total Claims	83	-83 **	0	0
Independent Claims	13	-13 **	0	0
Multiple Dependent				0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	400	2252	200	Extension for reply within second month	200
1253	920	2253	460	Extension for reply within third month	
1254	1,440	2254	720	Extension for reply within fourth month	
1255	1,960	2255	980	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,280	2453	640	Petition to revive - unintentional	
1501	1,280	2501	640	Utility issue fee (or reissue)	
1502	460	2502	230	Design issue fee	
1503	620	2503	310	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	740	2809	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	740	2810	370	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	740	2801	370	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 200**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Daryl C. Josephson	Registration No. Attorney/Agent	37,365	Telephone	(650) 843-6500
Signature				Date	December 16, 2002

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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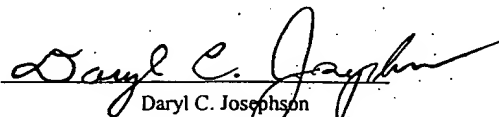


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an enveloped addressed to the Commissioner for Patents, Washington, D.C. 20231 on

Date: December 16, 2002

  
Daryl C. Josephson

In Re Application of:  Mark E. Pennell, et al.  Serial No: 09/437,812  Filed: November 10, 1999  For: A WEB BASED EMAIL CONTROL CENTER	Examiner:  Quang N. Nguyen  Art Unit: 2152  <div style="text-align: center;"><b>RECEIVED</b> DEC 27 2002</div>
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Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2100

**LETTER TO OFFICIAL DRAFTSPERSON**  
**(Request To Make Proposed Drawing Changes)**

Sir:

Subject to the approval of the Primary Examiner in the above-entitled patent application, please replace the previously submitted six (6) sheets of informal drawings containing Figures 1, 2, 3, 4, 5 and 6 with the enclosed six (6) sheets of informal drawings, containing Figures 1, 2, 3, 4, 5 and 6.

**REMARKS**

Applicants respectfully submit that the requested drawing amendments are consistent with the corresponding material in the specification and does not add any new matter to the application.

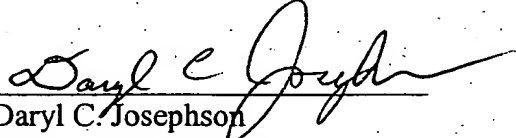
Should the Examiner have any questions concerning this request, the Examiner is invited to call the undersigned representative at the number shown below.

If for any reason an insufficient fee has been paid, the Commissioner is hereby authorized to charge the insufficiency to Deposit Account No. 05-0150.

Date: Decemeber 16, 2002

Squire, Sanders & Dempsey L.L.P.  
600 Hansen Way  
Palo Alto, CA 94304-1043  
Telephone: (650) 856-6500  
Facsimile: (650) 843-8777

Respectfully submitted,

  
Daryl C. Josephson  
Attorney for Applicants  
Registration No.: 37,365